

DEC. 8. 2005 3:41PM Sidley Austin Brown and Wood

NO. 617 P. 1

SIDLEY AUSTIN BROWN & WOOD LLP

MEMORANDUM

TO: Refund Department
Office of Finance
USPTO

FROM: Derrick Gordon *DG*

RE: Duplicate Charge

DATE: December 8, 2005

Dear Sir or Madam,

There is a transaction appearing on our deposit account statement (181260) on 12/06/05, serial number 09/627,662, fee code 1501, attorney docket number CA990022US1 in the amount of \$1,400.00 that apparently was charged to our account but did not come from our office. Earlier today I spoke with Brenda and she was nice enough to send me the backup for this transaction, and the paperwork clearly shows that this transaction originated from the law firm of Konrad Raynes & Victor in Beverly Hills, CA. The attached fee transmittal sheet shows in section 4b-Payment of Fees that the charges for this transaction were authorized to be deducted from deposit account 040460 or 090460 as it's a little difficult to read. At any rate it's definitely not the deposit account number for Sidley Austin Brown & Wood that shown above.

Please refund \$1,400.00 to deposit account number 181260. If you have any questions please call me at 214-981-3324 or e-mail me at dtgordon@sidley.com. Thank you.

717 North HARWOOD
Suite 3400
DALLAS, TX 75201

Best Available Copy
Acquisition Date: 12/08/05
Acquisition Time: 14:24:33
Acquisition User: 39527662

DEC. 8. 2005 3:41PM
12/08/05 10:00 am

Sidley Austin Brown and Wood

NO. 617 P. 2

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to:

Mail Stop **ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required), sections 1 through 3 should be completed, where appropriate. All further correspondence including the Patent, advance system and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (i) specifying a new correspondence address and/or (ii) indicating a separate "FEE ADDRESS" for maintenance fee(s) only.

CURRENT CORRESPONDENCE ADDRESS: (Use Block 1 for any change of address)

40021 7390 01/03/2005
KONRAD RAYNES & VICTOR, LLP
315 S. BEVERLY DRIVE
#210
BEVERLY HILLS, CA 90212



Note: A certificate of mailing can only be used for domestic mailings of the fee(s) transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

Certificate of Mailing or Transmittal
I hereby certify that this Fee(s) Transmittal (being deposited with the United States Postal Service with sufficient postage for first class mail) is an envelope addressed to the Mail Stop **ISSUE FEE** address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

GERARDY BELL (Copyright owner)
Gerard Bell (Signature)
12-1-05 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09621552	07/28/2000	Sam S. Ughodare	CA90022US1	1033

TITLE OF INVENTION: HEURISTIC-BASED CONDITIONAL DATA INDEXING

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	04/03/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
LY,ANH	2161	707-002800

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form, PTO/SB/123) attached.

☐ "Fee Address" indication (or "Fee Address" indication form, PTO/SB/123, Nov. 02-03, or most recent) attached. Use of a Examiner Number is required.

2. For printing on the patent front page, list:

(1) the names of up to 3 registered patent attorneys or agents (R, R, R), alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If no name is listed, no name will be printed.

RAJENDRANATH DATTA
DAVEN W. VICTOR
KONRAD RAYNES & VICTOR, LLP

2. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation of the facts in 37 CFR 2.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

INTERNATIONAL BUSINESS MACHINES CORPORATION

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

ARMUK, NEW YORK

Please check the proper signature category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

(a) The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - \$ of Dues

(b) Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-3038 is attached.
☒ The Director is hereby authorized to charge the registered fee(s), or credit any overpayment to Deposit Account Number **89-6466** (check on extra copy of this form).

3. Change in Entity Status (from status indicated above)

☐ a. Applicant claims **SMALL ENTITY** status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming **SMALL ENTITY** status. See 37 CFR 1.27(a)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to exempt any previously paid issue fee to the application identified above.
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent or the assignee or other party to interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

DAVEN W. VICTOR
Typed or printed name: **DAVEN W. VICTOR**

Date **12/1/05**

Registration No. **39,867**

This collection of information is required by 37 CFR 1.311. The information is provided to obtain or retain a benefit by the public which is to the (and by the USPTO in process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.3. This collection is exempted from the FOIA, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any request on the ground of time you receive in complete this form and/or documents for reviewing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-83 (Rev. 2004) Approved for use through 04/30/2007.

OMB 0651-0033 U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE